

## Declaration for availing of Basic Services Demat Account (BSDA) facility

To,  
 SPFL SECURITIES LIMITED  
 DPID - 12061200  
 15/63 'M' CIVIL LINES  
 KANPUR - 208 001

Date : 

D	D	M	M	Y	Y	Y	Y
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Dear Sir / Madam,

I / We wish to avail the BSDA facility for the new account for which we have submitted my / our account opening form

I / We wish to avail the BSDA facility for my / our below mentioned demat account number

DP ID	1	2	0	6	1	2	0	0	Client ID							
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	Name	PAN
<b>Sole/First Holder</b>		
<b>Second Holder</b>		
<b>Third Holder</b>		

I/We have read and understood the regulatory (SEBI) guidelines for opening a Basic Services Demat Account and undertake to comply with the aforesaid guidelines from time to time. I/we also undertake to comply with the guidelines issued by any such authority for BSDA facility from time to time. I/We also agree that in case our demat account opened under BSDA facility does not meet the eligibility for BSDA facility as per guideline issued by SEBI or any such authority at any point of time, my / our BSDA account will be converted to regular demat account without further reference to me/us and will be levied charges as applicable to regular accounts as informed by the DP.

I, the first / Sole holder also hereby declare that I do not have / propose to have any other demat account across depositories as a first / sole holder.

	Signature
Sole/First Holder	
Second Holder	
Third Holder	

----- (Please Tear Here) -----

### Acknowledgment Receipt

Received BSDA declaration form from:

DP ID	1	2	0	6	1	2	0	0	Client ID						
<b>Name</b>															
<b>Address</b>															

Date : \_\_\_\_\_ Depository Participant Seal and Signature



# SPFL SECURITIES LIMITED

## DEPOSITORY PARTICIPANT

NSDL DP ID : IN300556, CDSL DP ID : 12027600

15/63 'M', NEAR D.A.V. COLLEGE, CIVIL LINES, KANPUR-208 001

Phones : (0512) 2305201, 2305158 • Fax : (0512) 2305993

### TARIFF APPLICABLE TO BENEFICIARY OWNER ACCOUNTS OPENED UNDER BASIC SERVICE DEMAT ACCOUNT SCHEME (BSDA) AVAILABLE FOR INDIVIDUAL INVESTORS

Sl. No.	PARTICULARS	SCHEME FOR ACCOUNT WITH POA	SCHEME FOR ACCOUNT WITHOUT POA	
		INVESTORS FOR OUR CM	INVESTORS FOR OUR CM	INVESTOR FOR OTHER CM
1.	Account Opening Form	Nil	Nil	Nil
2.	Agreement (Stamp Charges)	Rs. 100/- + Rs. 100/- for POA	Rs. 100/-	Rs. 100/-
3.	Kit Charges	Rs. 50/-	Rs. 50/-	Rs. 50/-
4.	KYC Registration Charges	Rs. 40/-	Rs. 40/-	Rs. 40/-
5.	*Annual Maintenance Charges	Please see following notes	Please see following notes	Please see following notes
6.	Transaction Charges : Sales (Debit Instructions Market)	Rs. 7/- per transaction	Rs. 15/- per transaction	0.04% (min. Rs. 25/-) plus NSDL/CDSL Charges per transaction
7.	Transaction Charges : Sales (Debit Instructions Off Market)	Rs. 25/- per transaction	0.04% (min. Rs. 25/-) plus NSDL/CDSL Charges per transaction	0.04% (min. Rs. 25/-) plus NSDL/CDSL Charges per transaction
8.	Purchase (Credit Instruction Market & Off-Market)	Nil	Nil	Nil
9.	Pledge Creation / Confirmation	Rs. 25/- per transaction	Rs. 25/- per transaction	Rs. 25/- per transaction
10.	Dematerialisation	Rs. 2/- per certificate + Mailing charges Rs. 35/-	Rs. 2/- per certificate + Mailing charges Rs. 35/-	Rs. 2/- per certificate + Mailing charges Rs. 35/-
11.	Rematerialisation	Rs. 15/- per certificate + Mailing charges Rs. 35/-	Rs. 15/- per certificate + Mailing charges Rs. 35/-	Rs. 15/- per certificate + Mailing charges Rs. 35/-

#### **NOTES ON TARIFF :**

- Annual Maintenance Charge:
  - (a) Shall be Free up to the value of investment in the account is less or equal to Rs. 50,000/-;
  - (b) Shall be charged Rs. 100/- per F.Y. up to the value of investment in the account exceeds Rs. 50,000/- and is less or equal to Rs. 2,00,000/-.
  - (c) Shall be charged Rs. 250/- per F.Y. or Rs. 2000/- Lifetime (Refundable) under POA scheme and Rs. 400/- per F.Y. or Rs. 3500/- Lifetime (Refundable) under without POA scheme if the value of investment in the account exceeds Rs. 2,00,000/- and the account shall be treated as normal account of the investor.
- 1. The tag for Annual Maintenance Charge shall be changed automatically on exceeding the limit of the value of investment in the account forever.
- 2. No A.M.C. would be charged on the accounts under Lifetime A.M.C. option. The amount may be withdrawn at any point of time during the operation of the account or on closure. The amount would be refunded after deducting A.M.C. for the corresponding Financial Year.
- 3. Trade instructions would be accepted against payment in cash for the accounts under without POA Scheme. The transaction charges for the accounts under POA Scheme would be collected month wise.
- 4. The Demat/Remat Request Forms would be accepted against payment in cash.
- 5. Two Statement of Transaction (SOT) of BSDA shall be sent free of cost thereafter a sum of Rs. 25/- per SOT shall be charged by the DP.
- 6. All instructions for transfer shall be received from the clients under without POA scheme up to 4:00 p.m. on "T+1" for pay-in of securities. Late instructions would be accepted at the Client's sole risk and responsibility.
- 7. The charges are subject to revision by the DP or the Statutory Authorities with a notice period of 30 days to the Investors.
- 8. The selection of scheme will be on mutual consent of beneficiary owner and DP.
- 9. Annual maintenance charge is payable in advance on 1st April every year, wherever applicable.
- 10. All the percentage in the tariff would be applied on the cost of transaction on execution date.
- 11. Service tax/Cess as applicable would be levied.

Signature(s)



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Sole / First Holder



\_\_\_\_\_

Second Holder



\_\_\_\_\_

Third Holder